

# Approximating Multilinear Monomial Coefficients and Maximum Multilinear Monomials in Multivariate Polynomials

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#### Abstract

This paper is our third step towards developing a theory of testing monomials in multivariate polynomials and concentrates on two problems: (1) How to compute the coefficients of multilinear monomials; and (2) how to find a maximum multilinear monomial when the input is a  $\Pi \Sigma \Pi$  polynomial. We first prove that the first problem is #P-hard and then devise a  $O^*(3^n s(n))$  upper bound for this problem for any polynomial represented by an arithmetic circuit of size s(n). Later, this upper bound is improved to  $O^*(2^n)$  for  $\Pi \Sigma \Pi$  polynomials. We then design fully polynomial-time randomized approximation schemes for this problem for  $\Pi\Sigma$  polynomials. On the negative side, we prove that, even for  $\Pi \Sigma \Pi$  polynomials with terms of degree  $\leq 2$ , the first problem cannot be approximated at all for any approximation factor  $\geq 1$ , nor "weakly approximated" in a much relaxed setting, unless P=NP. For the second problem, we first give a polynomial time  $\lambda$ -approximation algorithm for  $\Pi \Sigma \Pi$  polynomials with terms of degrees no more a constant  $\lambda \geq 2$ . On the inapproximability side, we give a  $n^{(1-\epsilon)/2}$  lower bound, for any  $\epsilon > 0$ , on the approximation factor for  $\Pi\Sigma\Pi$  polynomials. When terms in these polynomials are constrained to degrees  $\leq 2$ , we prove a 1.0476 lower bound, assuming  $P \neq NP$ ; and a higher 1.0604 lower bound, assuming the Unique Games Conjecture.

# 1 Introduction

### 1.1 Background

We begin with two examples to exhibit the motivation and necessity of the study about the monomial testing problem for multivariate polynomials. The first is about testing a k-path in any given undirected graph G = (V, E) with |V| = n, and the second is about the satisfiability problem. Throughout this paper, polynomials refer to those with multiple variables.

For any fixed integer  $c \ge 1$ , for each vertex  $v_i \in V$ , define a polynomial  $p_{k,i}$  as follows:

$$p_{1,i} = x_i^c,$$
  

$$p_{k+1,i} = x_i^c \left(\sum_{(v_i, v_j) \in E} p_{k,j}\right), \ k > 1.$$

We define a polynomial for G as

$$p(G,k) = \sum_{i=1}^{n} p_{k,i}.$$

Obviously, p(G, k) can be represented by an arithmetic circuit. It is easy to see that the graph G has a k-path  $v_{i_1} \cdots v_{i_k}$  iff p(G, k) has a monomial  $x_{i_1}^c \cdots x_{i_k}^c$  of degree ck in its sum-product expansion. G has a Hamiltonian path iff p(G, n)has the monomial  $x_1^c \cdots x_n^c$  of degree cn in its sum-product expansion. One can also see that a path with some loop can be characterized by a monomial as well. Those observations show that testing monomials in polynomials is closely related to solving k-path, Hamiltonian path and other problems about graphs. When  $c = 1, x_{i_1} \cdots x_{i_k}$  is multilinear. The problem of testing multilinear monomials has recently been exploited by Koutis [21] and Williams [30] to design innovative randomized parameterized algorithms for the k-path problem.

Now, consider any CNF formula  $f = f_1 \wedge \cdots \wedge f_m$ , a conjunction of m clauses with each clause  $f_i$  being a disjunction of some variables or negated ones. We may view conjunction as multiplication and disjunction as addition, so f looks like a "polynomial", denoted by p(f). p(f) has a much simpler  $\Pi\Sigma$ representation, as will be defined in the next section, than general arithmetic circuits. Each "monomial"  $\pi = \pi_1 \dots \pi_m$  in the sum-product expansion of p(f)has a literal  $\pi_i$  from the clause  $f_i$ . Notice that a boolean variable  $x \in \mathbb{Z}_2$  has two properties of  $x^2 = x$  and  $x\bar{x} = 0$ . If we could realize these properties for p(f) without unfolding it into its sum-product, then p(f) would be a "real polynomial" with two characteristics: (1) If f is satisfiable then p(f) has a multilinear monomial, and (2) if f is not satisfiable then p(f) is identical to zero. These would give us two approaches towards testing the satisfiability of f. The first is to test multilinear monomials in p(f), while the second is to test the zero identity of p(f). However, the task of realizing these two properties with some algebra to help transform f into a needed polynomial p(f) seems, if not impossible, not easy. Techniques like arithmetization in Shamir [28] may not be suitable in this situation. In many cases, we would like to move from  $Z_2$  to some larger algebra so that we can enjoy more freedom to use techniques that may not be available when the domain is too constrained. The algebraic approach within  $Z_2[Z_2^k]$  in Koutis [21] and Williams [30] is one example along the above line. It

was proved in Bshouty *et al.* [6] that extensions of DNF formulas over  $Z_2^n$  to  $Z_N$ -DNF formulas over the ring  $Z_N^n$  are learnable by a randomized algorithm with equivalence queries, when N is large enough. This is possible because a larger domain may allow more room to utilize randomization.

There has been a long history in theoretical computer science with heavy involvement of studies and applications of polynomials. Most notably, low degree polynomial testing/representing and polynomial identity testing have played invaluable roles in many major breakthroughs in complexity theory. For example, low degree polynomial testing is involved in the proof of the PCP Theorem, the cornerstone of the theory of computational hardness of approximation and the culmination of a long line of research on IP and PCP (see, Arora at el. [3] and Feige *et al.* [14]). Polynomial identity testing has been extensively studied due to its role in various aspects of theoretical computer science (see, for examples, Chen and Kao [12], Kabanets and Impagliazzo [18]) and its applications in various fundamental results such as Shamir's IP=PSPACE [28] and the AKS Primality Testing [2]. Low degree polynomial representing [22] has been sought for so as to prove important results in circuit complexity, complexity class separation and subexponential time learning of boolean functions (see, for examples, Beigel [5], Fu[15], and Klivans and Servedio [20]). These are just a few examples. A survey of the related literature is certainly beyond the scope of this paper.

### 1.2 The First Two Steps

The above two examples of the k-path testing and satisfiability problems, the rich literature about polynomial testing and many other observations have motivated us to develop a new theory of testing monomials in polynomials represented by arithmetic circuits or even simpler structures. The monomial testing problem is related to, and somehow complements with, the low degree testing and the identity testing of polynomials. We want to investigate various complexity aspects of the monomial testing problem and its variants with two folds of objectives. One is to understand how this problem relates to critical problems in complexity, and if so to what extent. The other is to exploit possibilities of applying algebraic properties of polynomials to the study of those critical problems.

As a first step towards testing monomials, Chen and Fu [8] have proved a series of results: The multilinear monomial testing problem for  $\Pi\Sigma\Pi$  polynomials is NP-hard, even when each clause has at most three terms and each term has a degree at most 2. The testing problem for  $\Pi\Sigma$  polynomials is in P, and so is the testing for two-term  $\Pi\Sigma\Pi$  polynomials. However, the testing for a product of one two-term  $\Pi\Sigma\Pi$  polynomial and another  $\Pi\Sigma$  polynomial is NP-hard. This type of polynomial products is, more or less, related to the polynomial factorization problem. We have also proved that testing *c*-monomials for two-term  $\Pi\Sigma\Pi$  polynomials is NP-hard for any c > 2, but the same testing is in P for  $\Pi\Sigma$  polynomials. Finally, two parameterized algorithms have been devised for three-term  $\Pi\Sigma\Pi$  polynomials and products of two-term  $\Pi\Sigma\Pi$  and  $\Pi\Sigma$  polynomials. These results have laid a basis for further study about testing monomials.

In our subsequent paper, Chen at al. [9] present two pairs of algorithms. First, we prove that there is a randomized  $O^*(p^k)$  time algorithm for testing *p*-monomials in an *n*-variate polynomial of degree *k* represented by an arithmetic circuit, while a deterministic  $O^*(6.4^k + p^k)$  time algorithm is devised when the circuit is a formula, here *p* is a given prime number. Second, we present a deterministic  $O^*(2^k)$  time algorithm for testing multilinear monomials in  $\Pi_m \Sigma_2 \Pi_t \times \Pi_k \Pi_3$  polynomials, while a randomized  $O^*(1.5^k)$  algorithm is given for these polynomials. The first algorithm extends the recent work by Koutis [21] and Williams [30] on testing multilinear monomials. Group algebra is exploited in the algorithm designs, in corporation with the randomized polynomial identity testing over a finite field by Agrawal and Biswas [1], the deterministic noncommunicative polynomial identity testing by Raz and Shpilka [25] and the perfect hashing functions by Chen *at el.* [11]. Finally, we prove that testing some special types of multilinear monomial is W[1]-hard, giving evidence that testing for specific monomials is not fixed-parameter tractable.

#### **1.3** Contributions

Naturally, testing for the existence of any given monomial in a polynomial can be carried out by computing the coefficient of that monomial in the sum-product expansion of the polynomial. A zero coefficient means that the monomial is not in the polynomial, while a nonzero coefficient implies that it is. Moreover, coefficients of monomials in a polynomial have their own implications and are closely related to central problems in complexity. As we shall exhibit later, the coefficients of multilinear monomials correspond to counting perfect matchings in a bipartite graph and to computing the permanent of a matrix.

Consider a  $\Pi \Sigma \Pi$  polynomial F. F may not have a multilinear monomial in its sum-product expansion. However, one can always find a multilinear monomial via selecting terms from some clauses of F, unless all the terms in each clause of F are not multilinear or F is simply empty. Here, the real challenging is how to find a longest multilinear from the prod of a subset of clauses in F. This problem is closely related to the maximum independent set, MAX-k-2SAT and other important optimization problems in complexity.

Because of the above characteristics of monomial coefficients, we concentrate on two problems in this paper:

- 1. How to compute the coefficients of multilinear monomials in the sumproduct expansion of a polynomial?
- 2. How to find/approximate a maximum multilinear monomial when the input is a  $\Pi\Sigma\Pi$  polynomial?

For the first problem, we first prove that it is #P-hard and then devise a  $O^*(3^n s(n))$  time algorithm for this problem for any polynomial represented by an arithmetic circuit of size s(n). Later, this  $O^*(3^n s(n))$  upper bound is improved to  $O^*(2^n)$  for  $\Pi \Sigma \Pi$  polynomials. Two easy corollaries are derived directly from this  $O^*(2^n)$  upper bound. One gives an upper bound that matches the best known  $O^*(2^n)$  deterministic time upper bound, that was due to Ryser [26] in early 1963, for computing the permanent of an  $n \times n$  matrix. The other gives an upper bound that matches the best known  $O^*(1.415^n)$  deterministic time upper bound, that was also due to Ryser [26], for counting the number of perfect matchings in the a bipartite graph

We then design three fully polynomial-time randomized approximation schemes. The first approximates the coefficient of any given multilinear monomial in a  $\Pi\Sigma$  polynomial. The second approximates the sum of coefficients of all the multilinear monomials in a  $\Pi\Sigma$  polynomial. The third finds an  $\epsilon$ -approximation to the coefficient of any given multilinear monomial in a  $\Pi_k \Sigma_a \Pi_t \times \Pi_m \Sigma_s$  polynomial with a being a constant  $\geq 2$ .

On the negative side, we prove that, even for  $\Pi\Sigma\Pi$  polynomials with terms of degree  $\leq 2$ , the first problem cannot be approximated *at all* regardless of the approximation factor  $\geq 1$ . We then consider "weak approximation" in a much relaxed setting, following our previous work on inapproximability about exemplar breakpoint distance and exemplar conserved interval distance of two genomes [10, 7]. We prove that, assuming  $P \neq NP$ , the first problem cannot be approximated in polynomial time within any approximation factor  $\alpha(n) \geq 1$  along with any additive adjustment  $\beta(n) \geq 0$ , where  $\alpha(n)$  and  $\beta(n)$  are polynomial time computable.

For the second problem, we first present a polynomial time  $\lambda$ -approximation algorithm for  $\Pi \Sigma \Pi$  polynomials with terms of degrees no more a constant  $\lambda \geq 2$ . On the inapproximability side, we give a  $n^{(1-\epsilon)/2}$  lower bound, for any  $\epsilon > 0$ , on the approximation factor for  $\Pi \Sigma \Pi$  polynomials. When terms in these polynomials are constrained to degrees  $\leq 2$ , we prove a 1.0476 lower bound, assuming  $P \neq NP$ . We also prove a higher 1.0604 lower bound, assuming the Unique Games Conjecture.

#### 1.4 Organization

The rest of the paper is organized as follows. In Section 2, we introduce the necessary notations and definitions. In Section 3, coefficients of multilinear monomials in polynomials are shown to be related to perfect matchings in bipartite graphs and to the permanents of matrices. Two parameterized algorithms are devised for computing the coefficient of a multilinear monomial with applications to counting perfect matchings and computing the permanent of a matrix. In Section 4, we design three fully polynomial-time randomized approximation algorithms. Sections 5 and 6 are devoted to inapproximability and weak inapproximability for computing multilinear monomial coefficients. Section 7 focuses on the problem of finding a maximum multilinear monomial in a polynomial. One approximation algorithm and three lower bounds on approximation factors are included.

# 2 Notations and Definitions

For variables  $x_1, \ldots, x_n$ , let  $\mathcal{P}[x_1, \cdots, x_n]$  denote the communicative ring of all the *n*-variate polynomials with coefficients from a finite field  $\mathcal{P}$ . For  $1 \leq i_1 < \cdots < i_k \leq n, \ \pi = x_{i_1}^{j_1} \cdots x_{i_k}^{j_k}$  is called a monomial. The degree of  $\pi$ , denoted by deg $(\pi)$ , is  $\sum_{s=1}^k j_s$ .  $\pi$  is multilinear, if  $j_1 = \cdots = j_k = 1$ , i.e.,  $\pi$  is linear in all its variables  $x_{i_1}, \ldots, x_{j_k}$ . For any given integer  $\tau \geq 1$ ,  $\pi$  is called a  $\tau$ monomial, if  $1 \leq j_1, \ldots, j_k < \tau$ . In the setting of the *MAX-Multilinear Problem* in Section 7, we need to consider the length of the a monomial  $\pi = x_{i_1}^{j_1} \cdots x_{i_k}^{j_k}$  as  $|\pi| = \sum_{\ell=1}^k \log(1+j_\ell)$ . (Strictly speaking,  $|\pi|$  should be  $\sum_{\ell=1}^k \log(1+j_\ell) \log n$ . But, the common  $\log n$  factor can be dropped for ease of analysis.) When  $\pi$  is multilinear,  $|\pi| = k$ , i.e., the number of variables in it.

For any polynomial  $F(x_1, \ldots, x_n)$  and any monomial  $\pi$ , we let  $c(F, \pi)$  denote the coefficient of  $\pi$  in the sum-product of F, or in F for short. If  $\pi$  is indeed in F, then  $c(\pi) > 0$ . If not, then  $c(F, \pi) = 0$ . We also let S(F) denote the sum of the coefficients of all the multilinear monomials in F. When it is clear from the context, we use  $c(\pi)$  to stand for  $c(F, \pi)$ .

An arithmetic circuit, or circuit for short, is a direct acyclic graph with + gates of unbounded fan-in,  $\times$  gates of fan-in two, and all terminals corresponding to variables. The size, denoted by s(n), of a circuit with n variables is the number of gates in it. A circuit is called a formula, if the fan-out of every gate is at most one, i.e., its underlying direct acyclic graph is a tree.

By definition, any polynomial  $F(x_1, \ldots, x_n)$  can be expressed as a sum of a list of monomials, called the sum-product expansion. The degree of the polynomial is the largest degree of its monomials in the expansion. With this expression, it is trivial to see whether  $F(x_1, \ldots, x_n)$  has a multilinear monomial (or a monomial with any given pattern) along with its coefficient. Unfortunately, this expression is essentially problematic and infeasible to realize, because a polynomial may often have exponentially many monomials in its expansion.

In general, a polynomial  $F(x_1, \ldots, x_n)$  can be represented by a circuit or some even simpler structure as defined in the following. This type of representation is simple and compact and may have a substantially smaller size, say, polynomially in n, in comparison with the number of all monomials in the sum-product expansion. The challenge is how to test whether F has a multilinear monomial, or some other needed monomial, efficiently without unfolding it into its sum-product expansion? The challenge applies to finding coefficients of monomials in F.

Throughout this paper, the  $O^*(\cdot)$  notation is used to suppress poly(n,k) factors in time complexity bounds.

**Definition 1** Let  $F(x_1, \ldots, x_n) \in \mathcal{P}[x_1, \ldots, x_n]$  be any given polynomial. Let  $m, s, t \geq 1$  be integers.

•  $F(x_1, \ldots, x_n)$  is said to be a  $\prod_m \sum_s \prod_t$  polynomial, if  $F(x_1, \ldots, x_n) = \prod_{i=1}^t F_i$ ,  $F_i = \sum_{j=1}^{r_i} X_{ij}$  and  $1 \le r_i \le s$ , and  $X_{ij}$  is a product of variables with  $deg(X_{ij}) \le t$ . We call each  $F_i$  a clause. Note that  $X_{ij}$  is not

a monomial in the sum-product expansion of  $F(x_1, \ldots, x_n)$  unless m = 1. To differentiate this subtlety, we call  $X_{ij}$  a term.

- In particular, we say  $F(x_1, \ldots, x_n) = \prod_{i=1}^t F_i$  is a  $\prod_m \Sigma_s$  polynomial, if it is a  $\prod_m \Sigma_s \prod_1$  polynomial. Here, each clause in  $F_i$  is a linear addition of single variables. In other word, each term in  $F_i$  has degree 1.
- $F(x_1, \ldots, x_n)$  is called a  $\prod_m \Sigma_s \prod_t \times \prod_k \Sigma_\ell$  polynomial, if  $F(x_1, \ldots, x_n) = F_1 \cdot F_2$  such that  $F_1$  is a  $\prod_m \Sigma_s \prod_t$  polynomial and  $F_2$  is a  $\prod_k \Sigma_\ell$  polynomial.

When no confusion arises from the context, we use  $\Pi \Sigma \Pi$  and  $\Pi \Sigma$  to stand for  $\Pi_m \Sigma_s \Pi_t$  and  $\Pi_m \Sigma_s$ , respectively.

Similarly, we use  $\Pi \Sigma_s \Pi$  and  $\Pi \Sigma_s$  to stand for  $\Pi_m \Sigma_s \Pi_t$  and  $\Pi_m \Sigma_s$  respectively, emphasizing that every clause in a polynomial has at most s terms or is a linear addition of at most s single variables.

# 3 Multilinear Monomial Coefficients, Perfect Matchings and Permanents

In this section, we show that the problem of computing the coefficients of multilinear monomials in a  $\Pi\Sigma\Pi$  polynomial is closely related to the problem of counting the number of perfect matchings in a bipartite graph and to the permanent of a matrix with nonnegative entries. We first shall prove that computing the coefficient of any given multilinear monomial in a  $\Pi\Sigma\Pi$  polynomial is #P-hard. We then devise a  $O^*(3^n \ s(n))$  time fixed parameter algorithm for computing coefficients for multilinear monomials in a polynomial represented by an arithmetic circuit of size s(n). This upper bound is further improved to  $O^*(2^n)$  for  $\Pi\Sigma\Pi$  polynomials. As two simply corollaries of this latter upper bound, we have an  $O^*(1.45^n)$  to find the number of perfect matchings in any given bipartite graph, and a  $O^*(2^n)$  time algorithm for computing the permanent of any  $n \times n$  matrix.

**Theorem 2** Let  $F(x_1, \ldots, x_n)$  be any given  $\prod_m \sum_s \prod_2$  polynomial. It is #P-hard to compute the coefficient of any given multilinear monomial in the sum-product of F.

**Proof** It is well known (see Valiant [29]) that the problem of counting the number of perfect matchings in a bipartite graph is #P-hard. We shall reduce this counting problem to the problem of computing coefficient of a multilinear monomial in a polynomial. Let  $G = (V_1 \cup V_2, E)$  be any given bipartite graph. We construct a polynomial F as follows.

Assume that  $V_1 = \{v_1, \dots, v_t\}$  and  $V_2 = \{u_1, \dots, u_t\}$ . Each vertex  $v_i \in V_1$  is represented by a variable  $x_i$ , so is  $u_i \in V_2$  by a variable  $y_i$ . For every vertex  $v_i \in V_1$ , define a polynomial

$$F_i = \sum_{(v_i, u_j) \in E} x_i y_j.$$

Define a polynomial for the graph G as

$$F(G) = F_1 \cdots F_t.$$

Let n = 2t, m = t, and s be maximum degree of the vertices in  $V_1$ . It is easy to see that F(G) is a n-variate  $\prod_m \Sigma_s \prod_2$  polynomial.

Now, suppose that G has a perfect matching  $(x_1, y_{i_1}), \ldots, (x_t, y_{i_t})$ . Then, we can choose  $\pi_j = x_j y_{i_j}$  from  $F_j$ ,  $1 \le j \le t$ . Thus,

$$\pi = \pi_1 \cdot \pi_2 \cdots \pi_t = x_1 x_2 \cdots x_t y_1 y_2 \cdots y_t$$

is a multilinear monomial in F(G). Hence, the number of perfect matchings in G is at most  $c(\pi)$ , i.e., the coefficient of  $\pi$  in F(G). On the other hand, suppose that F(G) has a multilinear monomial

$$\pi = \pi'_1 \cdot \dots \cdot \pi'_t = x_1 x_2 \cdots x_t y_1 y_2 \cdots y_t$$

in its sum-product expansion with  $\pi'_j$  being a term from  $F_j$ ,  $1 \leq j \leq t$ . By the definition of  $F_j$ ,  $\pi'_j = x_j y_{i_j}$ , meaning that vertices  $v_j$  and  $u_{i_j}$  are directly connected by the edge  $(j, i_j)$ . Since  $\pi'$  is multilinear,  $y_{i_1}, \ldots, y_{i_t}$  are distinct. Hence,  $(x_1, y_{i_1}), \ldots, (x_t, y_{i_t})$  constitute a perfect matching in G. Hence, the coefficient  $c(\pi)$  of  $\pi$  in F(G) is at most the number of perfect matchings in G. Putting the above analysis together, we have that G has a perfect matching iff F(G) has a copy of the multilinear monomial  $\pi = x_1 x_2 \cdots x_t y_1 y_2 \cdots y_t$  in its sum-product expansion. Moreover, G has  $c(\pi) \geq 0$  many perfect matchings iff the multilinear monomial  $\pi$  has a coefficient  $c(\pi)$  in the expansion. Therefore, by Valiant's #P-hardness of counting the number of perfect matchings in a bipartite graph [29], computing the coefficient of  $\pi$  in F(G) is #P-hard.

**Theorem 3** There is a  $O^*(s(n)3^n)$  time algorithm to compute the coefficients of all multilinear monomials in a polynomial  $F(x_1, \ldots, x_n)$  represented by an arithmetic circuit C of size s(n). **Proof** We consider evaluating F from C via a bottom-up process. Notice that at most  $2^n$  many multilinear monomials can be formed with n variables. For each addition gate g in C with fan-ins  $f_1, \ldots, f_s$ , we may assume that each  $f_i$  is a sum of multilinear terms, i.e., products of distinct variables. This assumption is valid, because we can discard all the terms in  $f_i$  that are not multilinear since we are only interested in multilinear monomials in the sum-product expansion of F. We simply add  $f_1 + \cdots + f_s$  via adding the coefficients of the same terms together. Since there are at most  $2^n$  many multilinear monomials (or terms), this takes  $O(n2^n)$  times.

Now we consider a multiplication gate g' in C with fan-ins  $h_1$  and  $h_2$ . As for the addition gates, we may assume that  $h_i$  is a sum of multilinear terms, i = 1, 2. For each term  $\pi$  with degree  $\ell$  in  $h_1$ , we only need to multiply it with terms in  $h_2$  whose degrees are at most  $n - \ell$ . If the multiplication yields a nonmultilinear term then that term is discarded, because we are only interested in multilinear terms in the expansion of F. This means that a term  $\pi$  of degree  $\ell$ in  $h_1$  can be multiplied with at most  $2^{n-\ell}$  possible terms in  $h_2$ . Let  $m_i$  denote the number of terms in  $h_1$  with degree  $i, 1 \leq i \leq n$ . Then, evaluating  $h_1 \cdot h_2$  for the multiplication gate g' takes time at most

$$O(n \ (m_1 \ 2^{n-1} + m_2 \ 2^{n-2} + \dots + m_{n-1} \ 2^1)). \tag{1}$$

Since there are at most  $\binom{n}{i}$  terms with degree *i* with respect to *n* variables, expression (1) is at most

$$O(n \ [\binom{n}{1} \ 2^{n-1} + \binom{n}{2} \ 2^{n-2} + \dots + \binom{n}{n-1} \ 2^{n-n}])$$
  
=  $O(n \ \sum_{i=1}^{n} \binom{n}{i} 2^{n-i}) = O(n \ 3^{n}).$ 

Since C has s(n) gates, the total time for the entire evaluation of F for finding all its multilinear monomials with coefficients is  $O(ns(n)3^n) = O^*(s(n)3^n)$ .

The time bound in Theorem 3 can be improved when  $\Pi\Sigma\Pi$  polynomials are considered.

**Theorem 4** Let  $F(x_1, \ldots, x_n)$  be any given  $\prod_m \Sigma_s \prod_t$  polynomial. One can find coefficients of all the multilinear monomials in the sum-product expansion of F in  $O^*(2^n)$  time.

**Proof** Let  $F(x_1, \ldots, x_n) = \prod_{i=1}^m F_i$  such that  $F_i = \sum_{j=1}^s T_{ij}$  and  $T_{ij}$  is a term of degree at most t. We first consider  $F_{m-1} \cdot F_m$ . Like what is done for the multiplication gate in the proof of Theorem 3, we multiply each term in  $F_{m-1}$  with every term in  $F_m$ . We discard all the resulting terms that are non-multilinear, because we are only interested in multilinear terms in F. Let  $G_{m-1}$  be the sum of all the remaining multilinear terms from  $F_{m-1} \cdot F_m$ . Then,  $G_{m-1}$  can have at most  $s^2 \leq 2^n$  many terms. Also, the time needed to obtain  $G_{m-1}$  is  $O(ts^2) = O(ts2^n)$ . Next, following the same approach, we do  $F_{m-2} \cdot G_{m-1}$  and let  $G_{m-2}$  be the sum of all the remaining multilinear terms that constitute all the multilinear monomials along with their respective coefficients in the sum-product expansion of F. The time for this last step also  $O(ts2^n)$ . The total time for the entire process is  $O(mts2^n) = O^*(2^n)$ .

**Corollary 5** There is a  $O^*(1.415^n)$  time algorithm to compute the exact number of perfect matchings in a bipartite graph  $G = (V_1 \cup V_2, E)$  with  $n = 2|V_1| = 2|V_2|$  vertices.

**Proof** Let m = n/2,  $V_1 = \{v_1, \ldots, v_m\}$  and  $V_2 = \{u_1, \ldots, u_m\}$ . For each vertex  $u_i \in V_2$ , we define a variable  $x_i$ . For each vertex  $v_i \in V_1$ , construct a polynomial

$$H_i = x_{i_1} + x_{i_2} + \dots + x_{i_{\ell_i}},$$

where  $(v_i, u_{i_j}) \in E$  for  $j = 1, \dots, \ell_i$  and  $v_i$  has exactly  $\ell_i$  adjacent vertices in G. Define

$$H(G) = H_1 \cdots H_{n/2}.$$

Then, H(G) is a  $(\frac{n}{2})$ -variate  $\prod_{n/2} \Sigma_s \prod_1$  polynomial, where  $s = max\{\ell_i\} \leq n/2$ . Following a similar analysis as in the proof of Theorem 2, G has a perfect matching iff H(G) has the multilinear monomial  $x_1x_2 \cdots x_{n/2}$  in its sum-product expansion. Moreover, when there is a perfect matching, the number of perfect matchings in G is the same as the coefficient of  $x_1x_2 \cdots x_{n/2}$ . Therefore, by Theorem 4, one can find the exact number of perfect matchings in G in time  $O^*(2^{n/2}) = O^*(1.415^n)$ .

The upper bound in Corollary 5 matches the best known deterministic upper bound of Ryser [26] for counting perfect matchings in a bipartite graph. The best known deterministic algorithm to compute the permanent of an  $n \times n$  matrix is Ryser Algorithm [26] with  $O^*(2^n)$  time complexity that was devised almost 50 years ago. A corollary of Theorem 4 implies an algorithm for computing the permanent of any matrix with the same time bound as Ryser algorithm does. Notice that when defining  $\Pi \Sigma \Pi$  polynomials in Section 2, we let the coefficients of all the terms in each clause to be 1 for ease of description. In fact, Theorems 3 and 4 still hold when arbitrary coefficients are allowed for terms in clauses of the input polynomial.

**Corollary 6** permanent The permanent of any given  $n \times n$  matrix is computable in time  $O^*(2^n)$ .

**Proof** Let  $A = (a_{ij})_{n \times n}$  be an  $n \times n$  matrix with nonnegative entries  $a_{ij}$ ,  $1 \leq i, j \leq n$ . Design a variable  $x_i$  for row *i* and define polynomials in the following:

$$R_i = (a_{i1}x_1 + \dots + a_{in}x_n),$$
  

$$P(A) = R_1 \cdots R_n.$$

Let perm(A) denote the permanent of A. It follows from the above definitions that the coefficient of the multilinear monomial  $\pi = x_1 \cdots x_n$  is precisely  $c(\pi) =$ perm(A). Since R(A) is a  $\prod_n \sum_n \prod_1$  polynomial, by Theorem 4, we have the  $O^*(2^n)$  time bound for computing perm(A).

The reduction in the proof of Corollary 5 implies the following result that somehow strengthens Theorem 2:

**Corollary 7** It is #P-hard to computing the coefficient of any given multilinear monomial in an n-variate  $\Pi_m \Sigma_s$  polynomial.

# 4 Fully Polynomial-Time Approximation Schemes for ΠΣ Polynomials

In this section, we show that in contrast to Theorem 2 and Corollary 7, fully polynomial-time randomized approximation schemes ("FPRAS") exist for solving the problem of finding coefficients of multilinear monomials in a  $\Pi\Sigma$  polynomial and some variants of this problem as well. An FPRAS  $\mathcal{A}$  is a randomized algorithm, when given any *n*-variate polynomial F and a monomial  $\pi$ together with an accuracy parameter  $\epsilon \in (0, 1]$ , outputs a value  $\mathcal{A}(F, \pi, \epsilon)$  in time poly $(n, 1/\epsilon)$  such that with high probability

$$(1-\epsilon)c(\pi) \le \mathcal{A}(F,\pi,\epsilon) \le (1+\epsilon)c(\pi).$$

**Theorem 8** There is an FPRAS for finding the coefficient of any given multilinear monomial in a  $\Pi_m \Sigma_s$  polynomial  $F(x_1, \ldots, x_n)$ .

**Proof** Let  $F(x_1, \ldots, x_n) = \prod_{i=1}^m F_i$  such that  $F_i = \sum_{j=1}^{s_i} x_{ij}$  with  $s_i \leq s$ . Notice that any monomial in the sum-product expansion of F will have exactly one variable from each clause  $F_i$ . This allows us to focus on multilinear monomials with exactly m variables. Let  $\pi = x_{i_1} \cdots x_{i_m}$  be such a multilinear monomial. We consider how to test whether  $\pi$  is in F, and if so, how to find its coefficient  $c(\pi)$ .

For each  $F_i$ , we eliminate all the variables that are not included in  $\pi$  and let  $F'_i$  be the resulting clause and  $F' = F'_1 \cdots F'_m$ . If one clause  $F'_i$  is empty, then we know that  $\pi$  must not be a in the expansion of F', nor in F. Now suppose that all clauses  $F'_i$ ,  $1 \leq i \leq m$ , are not empty. We shall reduce F' to a bipartite graph  $G = (V_1 \cup V_2, E)$  as follows. Define  $V_1 = \{v_1, \ldots, v_m\}$  and  $V_2 = \{u_1, \ldots, u_m\}$ . Here, each vertex  $v_i$  corresponds to the clause  $F'_i$ , and each vertex  $u_j$  corresponds to the variable  $x_j$ . Define an edge  $(v_i, u_j)$  in E if  $x_j$  is in  $F_i$ .

Suppose that  $\pi$  is a multilinear monomial in F (hence in F'). Then, each  $x_{i_j}$  in  $\pi$  is in a distinct clause  $F_{t_j}$ ,  $1 \leq j \leq m$ . This implies that edges  $(v_{t_j}, u_{i_j})$ ,  $1 \leq j \leq m$ , constitute a perfect matching in G. On the other hand, if edges  $(v_{t_j}, u_{i_j}), 1 \leq j \leq m$  form a perfect matching in G, then we have that  $x_{i_j}$  is in the clause  $F_{t_j}$ . Hence,  $\pi = x_{i_1} \cdots x_{i_m}$  is a multilinear monomial in F' (hence in F). This equivalence relation further implies that the number of perfect matchings in G is the same as the coefficient of the multilinear monomial  $\pi$  in F. Thus, the theorem follows from any fully polynomial-time randomized approximation scheme for computing the number of perfect matchings in a bipartite graph, and such an algorithm can be found in Jerrum em at el. [17].

In the following we shall consider how to compute the sum S(F) of the coefficients of all the multilinear monomials in a  $\Pi\Sigma$  polynomial F.

**Theorem 9** There is an FPRAS, when given any n-variate  $\prod_m \Sigma_s$  polynomial  $F(x_1, \ldots, x_n)$ , computes S(F).

**Proof** Let  $F(x_1, \ldots, x_n) = \prod_{i=1}^m F_i$  such that  $F_i = \sum_{j=1}^{s_i} x_{ij}$  with  $s_i \leq s$ . Since every monomial in the sum-product expansion of F consists of exactly one variable from each clause  $F_j$ , if m > n then F must not have any multilinear in its expansion. Thus, we may assume that  $m \leq n$ , because otherwise F will have no multilinear monomials. Let  $H = (x_1 + \cdots + x_n)$ . Define

$$F'(x_1,\ldots,x_n) = F \cdot H^{n-m} = F_1 \cdots F_m \cdot H^{n-m}.$$

Then, F' is a  $\prod_n \Sigma_n$  polynomial. For any given multilinear monomial

$$\pi = x_{i_1} \cdots x_{i_m}$$

in F with  $x_{i_j}$  belonging to the clause  $F_j$ ,  $1 \leq j \leq m$ , let  $x_{i_{m+1}}, \ldots, x_{i_{n-m}}$  be the n-m variables that are not included in  $\pi$ , then

$$\pi' = x_{i_1} \cdots x_{i_m} \cdot x_{i_{m+1}} \cdots x_{i_{n-m}} = x_1 x_2 \cdots x_n$$

is a multilinear monomial in F'. Because F' have n clauses with n variables, the only multilinear monomial that may be possibly contained in F' is the multilinear monomial  $\pi' = x_1 x_2 \cdots x_n$ . If F' indeed has the multilinear monomial  $\pi'$  with  $x_{i_j}$  in the clause  $F_j$ ,  $1 \leq j \leq m$ , then  $\pi = x_{i_1} \cdots x_{i_m}$  is a multilinear monomial in F. This relation between  $\pi$  and  $\pi'$  is also reflected by the relation between the coefficient  $c(\pi)$  of  $\pi$  in the expansion of F and the efficient  $c(\pi')$  of  $\pi'$  in the expansion of F'. Precisely, the coefficient  $c(\pi)$  of  $\pi$  in F implies that there are  $c(\pi)$  copies of  $x_{i_1} \cdots x_{i_m}$  for the choices of the first m variables in  $\pi'$ . Each additional variable  $x_{i_j}$ ,  $m + 1 \leq j \leq n - m$ , is selected from one copy of the clause H. Since  $H = (x_1 + \cdots x_n)$ , there are (n - m)! ways to select these (n - m) variables from (n - m) copies of H in F'. Hence,  $\pi$  contributes a value of  $c(\pi)(n-m)!$  to the coefficient of  $\pi'$  in F' together, we have that the coefficient of  $\pi$  in F' is  $S(F) \cdot (n - m)!$ . By Theorem 8, there is an FPRAS to compute the coefficient of  $\pi'$  in F'. Dividing the output of that algorithm by (n - m)! gives the needed approximation to S(F).

We now extend Theorem 9 to  $\Pi \Sigma \Pi \times \Pi \Sigma$  polynomials.

**Theorem 10** Let  $F(x_1, \ldots, x_n)$  be  $\prod_k \sum_a \prod_t \times \prod_m \sum_s$  polynomial with  $a \ge 2$ being a constant. There is a  $O(a^k \operatorname{poly}(n, 1/\epsilon))$  time FPRAS that finds an  $\epsilon$ approximation for the coefficient of any given multilinear monomial  $\pi$  in the sum-product F if  $\pi$  is in F, or returns "no" otherwise. Here,  $0 \le \epsilon < 1$  is any given approximation factor.

**Proof** Let  $F = F_1 \cdot F_2$  such that  $F_1$  is a  $\prod_k \Sigma_c \prod_t$  polynomial and  $F_2$  is a  $\prod_m \Sigma_s$  polynomial. We first expand  $F_1$  into its sum-product expansion. Since we are only interested in multilinear monomials, all those that are not multilinear will be discarded from the expansion. We still use  $F_1$  to denote the resulting

expansion. We will have at most  $a^k$  multilinear monomials in  $F_1$  as expressed in the following

$$F_1 = \sum_{i=1}^{a^k} b_i \psi_i,\tag{2}$$

where  $b_i = c(\psi)$  is the coefficient of the multilinear monomial  $\psi_i$  in F.

Given any multilinear monomial  $\pi$ , we consider how to test whether  $\pi$  is in F and if so, how to find its coefficient  $c(\pi)$ . Assume that  $\pi$  is a multilinear monomial in F. Since  $F = F_1 \cdot F_2$ ,  $\pi$  must be divided into two parts  $\pi = \pi_1 \cdot \pi_2$  such that  $\pi_1$  is chosen from  $F_1$  and  $\pi_2$  is chosen from  $F_2$ . By expression (2),  $\pi_1$  must be  $\psi_{i_j}$  for some  $1 \leq i_j \leq a^k$ . If this not true, then  $\pi$  is not in F, so return "no". Now, for each  $\psi_{i_j}$  such that  $\psi_{i_j}$  is a possible candidate for  $\pi_1$ , we decide whether  $\pi_2$  is a multilinear monomial in  $F_2$  and if so, we let  $\pi_2(\psi_{i_j})$  denote the second part of  $\pi$  with respect to the first part  $\pi_1 = \psi_{i_j}$  and find its coefficient  $c(\pi_2(\psi_{i_j}))$  in  $F_2$ . By Theorem 8, there is an FPRAS  $\mathcal{A}$  to accomplish this task, since  $F_2$  is a  $\prod_m \Sigma_s$  polynomial. Let  $\mathcal{A}(\psi_{i_j})$  denote the approximation to the coefficient  $c(\pi_2(\pi_{i_j}))$  returned by the algorithm  $\mathcal{A}$  with respect to the candidate  $\psi_{i_j}$ . Let  $\psi_{i_1}, \ldots, \psi_{i_\ell}$  be the list of all the candidates for  $\pi_1$ . Then, the algorithm  $\mathcal{A}$  returns  $\mathcal{A}(\pi)$  as

$$\mathcal{A}(\pi) = b_{i_1} \mathcal{A}(\psi_{i_1}) + \dots + b_{i_\ell} \mathcal{A}(\psi_{i_\ell}).$$

Since  $\mathcal{A}$  is an FPRAS, we have

$$\mathcal{A}(\pi) \leq b_{i_1}(1+\epsilon)c(\psi_{i_1}\cdot\pi_2(\psi_{i_1})) + \dots + b_{i_\ell}(1+\epsilon)c(\psi_{i_\ell}\cdot\pi_2(\psi_{i_\ell})) = (1+\epsilon)[b_{i_1}c(\psi_{i_1}\cdot\pi_2(\psi_{i_1})) + \dots + b_{i_\ell}c(\psi_{i_\ell}\cdot\pi_2(\psi_{i_\ell}))] = (1+\epsilon)c(\pi).$$

Similarly, we have

$$\begin{aligned} \mathcal{A}(\pi) &\geq b_{i_1}(1-\epsilon)c(\psi_{i_1}\cdot\pi_2(\psi_{i_1})) + \dots + b_{i_\ell}(1-\epsilon)c(\psi_{i_\ell}\cdot\pi_2(\psi_{i_\ell})) \\ &= (1-\epsilon)[b_{i_1}c(\psi_{i_1}\cdot\pi_2(\psi_{i_1})) + \dots + b_{i_\ell}c(\psi_{i_\ell}\cdot\pi_2(\psi_{i_\ell}))] \\ &= (1-\epsilon)c(\pi). \end{aligned}$$

Thus,  $\mathcal{A}(\pi)$  is an  $\epsilon$ -approximation to  $c(\pi)$ . The time for expanding  $F_1$  is  $O(ta^k) = O(na^k)$ . The time of the algorithm  $\mathcal{A}$ , by Theorem 8, is  $O(\text{poly}(n, 1/\epsilon))$ . So, the total time of the entire process is  $O(a^k \text{poly}(n, 1/\epsilon))$ .

# 5 Inapproximability

Although in the previous section we have proved that there exist fully polynomialtime randomized approximation schemes for the problem of computing coefficients of multilinear monomials in  $\Pi_m \Sigma_s$  polynomials, yet in this section we shall show that this problem is not approximable at all in polynomial time for  $\Pi_m \Sigma_s \Pi_t$  polynomials with  $t \ge 2$ , unless P=NP. Thus, a clear inapproximability boundary arises between t = 1 and t = 2 for  $\Pi_m \Sigma_s \Pi_t$  polynomials.

We consider a relaxed setting of approximation in comparison with the  $\epsilon$ approximation in the previous section. Given any *n*-variate polynomial *F* and
a monomial  $\pi$  together with an approximation factor  $\gamma \geq 1$ , we say that an
algorithm  $\mathcal{A}$  approximates the coefficient  $c(\pi)$  in *F* within an approximation
factor  $\gamma$ , if it outputs a value  $\mathcal{A}(F,\pi)$  such that

$$\frac{1}{\gamma} c(\pi) \le \mathcal{A}(F,\pi) \le \gamma c(\pi).$$

We may also refer  $\mathcal{A}$  as a  $\gamma$ -approximation to  $c(\pi)$ .

**Theorem 11** No matter what approximation factor  $\gamma \geq 1$  is used, there is no polynomial time approximation algorithm for the problem of computing the coefficient of any given multilinear monomial in the sum-product expansion of  $a \prod_m \Sigma_3 \prod_2$  polynomial, unless P=NP.

**Proof** Let  $F(x_1, \ldots, x_n) = \prod_{i=1}^m F_i$  be a  $\prod_m \Sigma_3 \prod_2$  polynomial. With loss of generality, we may assume that every term  $T_{ij}$  in each clause  $F_i$  is a product of two variables. (Otherwise, we can always pad new variables to any given  $\prod_m \Sigma_3 \prod_2$  polynomial to meet the above *clean* format.) It follows from Chen and Fu [8] that the problem of testing multilinear monomials in this type of polynomials is NP-complete.

Let  $\pi$  be any given multilinear monomial. Obviously,  $\pi$  is in F iff its coefficient  $c(\pi)$  in F is bigger than 0. Thus, testing whether  $\pi$  is in F is equivalent to determine whether the coefficient of  $\pi$  in F is bigger than 0.

Since every monomial in the expansion of F is a product of exactly one term from each clause  $F_i$ , all monomials in F must have the same degree 2m. If 2m > n, then there is no multilinear monomials in F. So we only need to consider the case of  $2m \le n$ . Let  $H = (x_1 + x_2 + \cdots + x_n)$  and define

$$F' = F_1 \cdot F_2 \cdot H^{(n-2m)} \tag{3}$$

Then, the only multilinear monomial that F' may possibly have is  $\psi = x_1 x - 2 \cdots x_n$ . If  $\pi$  is a multilinear monomial in F with the coefficient  $c(\pi) > 0$ , then following a similar analysis as we did in the proof of Theorem 9 we have that  $\pi$  contributes  $c(\pi)(n-2m)!$  to the coefficient  $c(\psi)$  of  $\psi$  in F'. This further implies that F has a multilinear monomial iff F' has the only multilinear monomial  $\psi$  with its coefficient  $c(\psi) = S(F)(n-2m)!$ . In other words, F has a multilinear monomial iff  $c(\psi) > 0$  in F'.

Assume that there is a polynomial time approximation algorithm  $\mathcal{A}$  to compute, within an approximation factor of  $\gamma \geq 1$ , the coefficient of any given multilinear monomial in a  $\Pi_m \Sigma_3 \Pi_2$  polynomial. Apply  $\mathcal{A}$  to F' for the multilinear monomial  $\psi$ . Let  $\mathcal{A}(\psi)$  be the coefficient returned by  $\mathcal{A}$  for  $\psi$ . Then, we

$$\frac{1}{\gamma} c(\psi) \le \mathcal{A}(\psi) \le \gamma c(\psi).$$

This means that F have a multilinear monomial iff  $\mathcal{A}(\psi) > 0$ . Hence, we have a polynomial time algorithm for testing whether F has any multilinear monomial via running  $\mathcal{A}$  on  $\psi$  in F'. However, this is impossible unless P=NP, because it has been proved in Chen and Fu [8] that the multilinear monomial testing problem for F is NP-complete.

By Theorem 9, there is a fully polynomial-time randomized approximation scheme for the problem of computing the sum of the coefficients of all the multilinear monomials in a  $\Pi_m \Sigma_s$  polynomial. However, when  $\Pi_m \Sigma_s \Pi_t$  polynomials are concerned, even if s = 3 and t = 2, this problem becomes inapproximable at all regardless of the approximation factor.

**Theorem 12** Assuming  $P \neq NP$ , given any n-variate  $\Pi_m \Sigma_3 \Pi_2$  polynomial Fand any approximation factor  $\gamma \geq 1$ , there is no polynomial time approximation algorithm for computing within a factor of  $\gamma$  the sum S(F) of the coefficients of all the multilinear monomials in the sum-product expansion of F.

**Proof** Consider the same *n*-variate  $\Pi_m \Sigma_3 \Pi_2$  polynomial  $F(x_1, x_2, \ldots, x_n)$  as in the proof of Theorem 11. Define F' as in expression (3). With a similar analysis, we have that F has multilinear monomials iff the coefficient of the multilinear monomial  $\psi = x_1 x_2 \cdots x_n$  has the coefficient S(F) (n-2m)!. That is, F has multilinear monomials iff the coefficient  $c(\psi)$  of  $\psi$  is bigger than zero in F'. Hence, like the analysis for Theorem 11, any polynomial time approximation algorithm for computing the coefficient  $c(\psi)$  in F' can be naturally adopted as a polynomial time algorithm for the multilinear monomial testing problem for  $\Pi_m \Sigma_3 \Pi_2$  polynomials. Since the latter problem is NP-complete (see Chen and Fu [8]), the former algorithm does not exists unless P = NP.

### 6 Weak Inapproximability

In this section, we shall relax the  $\gamma$ -approximation further in a much weak setting. Here, we allow the computed value to be within a factor of the targeted value along with some additive adjustment. Weak approximation has been first considered in our previous work on approximating the exemplar breakpoint distance [10] and the exemplar conserved interval distance [7] between two genomes. Assuming  $P \neq NP$ , it has been shown that the first problem does not admit any factor approximation along with a linear additive adjustment [10], while the latter has no approximation within any factor along with a  $O(n^{1.5})$  additive adjustment [7]. We shall strengthen the inapproximability results of Theorems

have

11 and 12 to weak inapproximability for computing the coefficient of any given multilinear monomial in a  $\Pi \Sigma \Pi$  polynomials. But first let us define the weak approximation.

**Definition 13** Let Z be the set of all nonnegative integers. Given four functions  $f(x), h(x), \alpha(x)$  and  $\beta(x)$  from Z to Z with  $\alpha(x) \ge 1$ , we say that h(x) is a weak  $(\alpha(x), \beta(x))$ -approximation to f(x), if

$$\max\left\{0, \frac{f(x) - \beta(x)}{\alpha(x)}\right\} \le h(x) \le \alpha(x) \ f(x) + \beta(x). \tag{4}$$

**Theorem 14** Let  $\alpha(x) \geq 1$  and  $\beta(x)$  be any two polynomial time computable functions from Z to Z. There is no polynomial time weak  $(\alpha(x), \beta(x))$ -approximation algorithm for computing the coefficient of any given multilinear monomial in an *n*-variate  $\Pi_m \Sigma_3 \Pi_2$  polynomial, unless P=NP.

**Proof** Let  $F(x_1, \ldots, x_n) = \prod_{i=1}^m F_i$  be a  $\prod_m \Sigma_3 \prod_2$  polynomial. Like in the proof of Theorem 11, we assume without loss of generality that every term in each clause  $F_i$  is a product of two variables. We further assume that 2m > n, because otherwise there are no multilinear monomials in F.

Choose k such that  $k! > 2\alpha(n+k)\beta(n+k) + \beta(n+k)$ . Notice that finding such a  $k \leq 2n$  is possible when n is large enough, because both  $\alpha$  and  $\beta$  are polynomial time computable. Let  $H = (x_1+x_2+\cdots+x_n)$  and  $G = (y_1+y_2+\cdots+y_k)$ with  $y_i$  being new variables. Define

$$F' = F \cdot H^{n-2m} \cdot G^k = F_1 \cdots F_m \cdot H^{n-2m} \cdot H^k.$$
(5)

It is easy to see from the above expression (5) that F has a multilinear monomial iff F' has one. Furthermore, the only multilinear monomial that F' can possibly have is  $\psi = x_1 \cdots x_n \cdot y_1 \cdots y_k$ .

Now consider that F has a multilinear monomial  $\pi$  with its coefficient  $c(\pi) > 0$ . Since the degree of  $\pi$  is 2m, let  $x_{i_1}, \ldots, x_{i_{n-2m}}$  be the variables that are not included in  $\pi$ . Then, the concatenation of  $\pi$  with each permutation of  $x_{i_1}, \ldots, x_{i_{n-2m}}$  selected from  $H^{n-2m}$  and each permutation of  $y_1, \ldots, y_k$  chosen from  $G^k$  will constitute a copy of the only multilinear monomial  $\psi$  in F'. Thus,  $\pi$  contributes  $c(\pi)(n-2m)! k!$  to the coefficient  $c(\psi)$  of  $\psi$  in F'. When all the possible multilinear monomials in F are considered, the coefficient of  $c(\psi)$  in F'is S(F)(n-2m)!k!. If F' has a multilinear monomial, i.e., the only one  $\psi$ , then F has at least one multilinear monomial. In this case, the above analysis also yields  $c(\psi) = S(F)(n-2m)!k!$  in F'.

Assume that there is a polynomial time weak  $(\alpha, \beta)$ -approximation algorithm  $\mathcal{A}$  to compute the coefficient of any given the multilinear monomial in a  $\Pi_m \Sigma_3 \Pi_2$  polynomial. Apply  $\mathcal{A}$  to F' for the multilinear monomial  $\psi$ . Let  $\mathcal{A}(\psi)$  be the coefficient returned by  $\mathcal{A}$  for  $\psi$ . Then, by expression (4) we have

$$\mathcal{A}(\psi) \leq \alpha(n+k) \ c(\psi) + \beta(n+k)$$

$$= \alpha(n+k) S(F) (n-2m)! k! + \beta(n+k), \qquad (6)$$
  
$$c(\psi) - \beta(n+k)$$

$$\begin{aligned}
\mathcal{A}(\psi) &\geq \frac{S(\psi) - \beta(n+k)}{\alpha(n+k)} \\
&= \frac{S(F) (n-2m)! k! - \beta(n+k)}{\alpha(n+k)}.
\end{aligned}$$
(7)

When F does not have any multilinear monomials, then F' does not either, implying S(F) = 0. In this case, by the relation (6), we have

$$\mathcal{A}(\psi) \leq \beta(n+k). \tag{8}$$

When F has multilinear monomials, then F' does as well. By the relation (7), we have

$$\mathcal{A}(\psi) \geq \frac{S(F) (n-2m)! k! - \beta(n+k)}{\alpha(n+k)}$$
  
$$\geq \frac{k! - \beta(n+k)}{\alpha(n+k)} > \frac{(2\alpha(n+k)\beta(n+k) + \beta(n+k)) - \beta(n+k)}{\alpha(n+k)}$$
  
$$= 2\beta(n+k).$$
(9)

Since there is a clear gap between  $(-\infty, \beta(n+k)]$  and  $(2\beta(n+k), +\infty)$ , inequalities (8) and (9) provide us with a sure way to test whether F has a multilinear monomial or not: If  $\mathcal{A}(\psi) > 2\beta(n+k)$ , then F has multilinear monomials. If  $\mathcal{A}(\psi) \leq \beta(n+k)$  then F does not. Since  $\mathcal{A}$  runs in polynomial time,  $\beta(n+k)$  is polynomial time computable and  $k \leq 2n$ , this implies that one can test whether F has a multilinear monomial in polynomial time. Since it has been proved in Chen and Fu [8] that the problem of testing multilinear monomials a  $\Pi_m \Sigma_3 \Pi_2$ polynomial is NP-complete, such an algorithm  $\mathcal{A}$  does not exist unless P=NP.

Combining the analysis for proving Theorems 12 and 14, we have the following weak inapproximability for computing the sum of coefficients of all the multilinear monomials in a  $\Pi\Sigma\Pi$  polynomial.

**Theorem 15** Let  $\alpha(x) \geq 1$  and  $\beta(x)$  be any two polynomial time computable functions from Z to Z. Assuming  $P \neq NP$ , there is no polynomial time weak  $(\alpha(x), \beta(x))$ -approximation algorithm for computing the sum S(F) of the coefficients of all the multilinear monomials in the sum-product expansion of a  $\Pi_m \Sigma_3 \Pi_2$  polynomial F.

# 7 The Maximum Multilinear Problem and Its Approximation

Given any  $\Pi \Sigma \Pi$  polynomial  $F(x_1, \ldots, x_n) = F_1 \cdots F_m$ , F may not have any multilinear monomial in its sum-product expansion. But even if this is the case, one can surely find a multilinear monomial by selecting terms from a proper

subset of the clauses in F, unless all the terms in F are not multilinear or F is simply empty. In this section, we consider the problem of finding the largest (or longest) multilinear monomials from subsets of the clauses in F. We shall investigate the complexity of approximating this problem.

**Definition 16** Let  $F(x_1, \ldots, x_n) = F_1 \cdots F_m$  be a  $\prod_m \Sigma_s \prod_t$  polynomial. Define MAX-SIZE(F) as the maximum length of multilinear monomials  $\pi = pi_{i_1} \cdots \pi_{i_k}$  with  $\pi_{i_j}$  in  $F_{i_j}$ ,  $1 \leq j \leq k$  and  $1 \leq i_1 < \cdots < i_k$ . Let MAX-MLM(F) to be a multilinear monomial  $\pi$  such that  $|\pi| = MX$ -SIZE(F), and we call such a multilinear monomial as a MAX-multilinear monomial in F.

The MAX-MLM problem for an *n*-variate  $\Pi \Sigma \Pi$  polynomial F is to find MAX-MLM(F). Sometimes, we also refer the MAX-MLM problem as the problem of finding MAX-SIZE(F). We say that an algorithm  $\mathcal{A}$  is an approximation scheme within a factor  $\gamma \geq 1$  for the MAX-MLM problem if, when given any  $\Pi \Sigma \Pi$  polynomial F,  $\mathcal{A}$  outputs a multilinear monomial denoted as  $\mathcal{A}(F)$  such that MAX-SIZE(F)  $\leq \gamma |\mathcal{A}(F)|$ .

**Theorem 17** Let  $\lambda \geq 2$  be a constant integer. Let F be any given n-variate  $\Pi_m \Sigma_s \Pi_\lambda$  polynomial with  $s \geq 2$ . There is a polynomial time approximation algorithm that approximates the MAX-MLM problem for F within a factor of  $\lambda$ .

**Proof** Let  $F(x_1, \ldots, x_n) = F_1 \cdots F_m$  such that each clause  $F_i$  has at most s terms with degrees at most  $\lambda$ . Let  $M = M_1 \cdot M_2 \cdots M_k$  be a MAX-multilinear monomial in F. Without loss of generality, assume  $|M_1| \ge |M_2| \ge \cdots |M_k|$ . We shall devise a simple greedy strategy to find a multilinear monomial  $\pi$  to approximate M.

We first find the longest term  $\pi_1$  from a clause  $F_{i_1}$ . Mark the clause  $F_{i_1}$  off in F. Let  $\pi = \pi_1$ . From all the unmarked clauses in F, find the longest term  $\pi_2$  from a clause  $F_{i_2}$  such that  $\pi_2$  has no common variables in  $\pi$ . Mark  $F_{i_2}$  off and let  $\pi = \pi_1 \cdot \pi_2$ . Repeat this process until no more terms can be found. At this point, we obtain a multilinear monomial  $\pi = \pi_1 \cdot \pi_2 \cdots \pi_\ell$ .

Notice that each term in F has at most  $\lambda$  variables. Each  $\pi_i$  may share certain common variables with some terms in M. If this is the case, then  $\pi_i$  will share common variables with at most  $\lambda$  terms in M. This means that we can select at least  $\ell \geq \lceil \frac{k}{\lambda} \rceil$  terms for  $\pi$ . The greedy strategy implies that

$$\begin{aligned} |\pi_i| \ge \left| M_{\lambda(i-1)+1} \right| \ge \frac{\left| M_{\lambda(i-1)+1} \right| + \dots + \left| M_{\lambda(i-1)+\lambda} \right|}{\lambda}, \ 1 \le i \le \left\lfloor \frac{k}{\lambda} \right\rfloor, \\ \left| \pi_{\lceil \frac{k}{\lambda} \rceil} \right| \ge \left| M_{\lambda \lfloor \frac{k}{\lambda} \rfloor + 1} \right| \ge \frac{\left| M_{\lambda \lfloor \frac{k}{\lambda} \rfloor + 1} \right| + \dots + \left| M_k \right|}{\lambda}, \ \text{if } \left\lfloor \frac{k}{\lambda} \right\rfloor = \left\lceil \frac{k}{\lambda} \right\rceil - 1. \end{aligned}$$

Thus,

$$|\pi| \geq |\pi_1| + \dots + |\pi_{\lceil \frac{k}{\lambda} \rceil}|$$

$$\geq \quad \frac{|M_1| + \dots + |M_k|}{\lambda} = \frac{|M|}{\lambda}.$$

Hence,

MAX-SIZE
$$(F) = |M| \le \lambda |\pi|$$
.

Therefore, The greedy strategy finds the monomial  $\pi$  that approximates the MAX-multilinear monomial M within the factor  $\lambda$ .

**Theorem 18** Let  $F(x_1, \ldots, x_n)$  be any given n-variate  $\Pi_m \Sigma_s \Pi_t$  polynomial. Unless P = NP, there can be no polynomial time algorithm that approximates MAX-MLM(F) within a factor of  $n^{(1-\epsilon)/2}$ , for any  $\epsilon > 0$ .

**Proof** We shall reduce the maximum independent set problem to the MAX-MLM problem. Let G = (V, E) be any given indirected graph with  $V = \{v_1, \ldots, v_n\}$ . For each edge  $(v_i, v_j) \in E$ , we design a variable  $x_{ij}$  representing this edge. For each vertex  $v_i \in V$ , let  $d(v_i)$  denote the number of edges connecting to it and define a term  $T(v_i)$  as follows:

$$T(v_i) = \begin{cases} \prod_{(v_i, v_j) \in E} x_{ij}, & \text{if } d(v_i) = n - 1, \\ \left(\prod_{(v_i, v_j) \in E} x_{ij}\right) \cdot \left(\prod_{j=1}^{n-1-d(v_i)} y_{ij}\right), & \text{if } d(v_i) < n - 1. \end{cases}$$

We now define a polynomial F(G) for the graph G as

$$F(G) = (T(v_1) + \dots + T(v_n))^n.$$

From the above definitions we know that all terms  $T(v_i), 1 \leq i \leq n$ , have the same length n-1. The number of new variables added to define F(G) is at most n(n-1).

Suppose that G has an independent set of k vertices  $v_{i_1}, \ldots, v_{i_k}$ . Then there is no edge to connect  $v_{i_j}$  and  $v_{i_\ell}$  for  $1 \leq j, \ell \leq k$  and  $j \neq \ell$ . This means that terms  $T(v_{i_j})$  and  $T(v_{i_\ell})$  do not have any common variables, so  $\pi = T(v_{i_1})\cdots T(v_{i_k})$  is multilinear with length k(n-1). On the other hand, suppose that we can choose terms  $T(v_{t_1}), \ldots, T(v_{t_f})$  such that  $\pi' = T(v_{t_1})\cdots T(v_{t_f})$  is multilinear. Then, there are no edges connecting any two pairs of vertices  $v_{t_j}$ and  $v_{t_\ell}$  for  $1 \leq j, \ell \leq k$  and  $j \neq \ell$ . This further implies that vertices  $v_{t_1}, \ldots, v_{t_f}$ form an independent set of size f in G. Notice that  $|\pi'| = f(n-1)$ .

It follows from the above analysis that G has a maximum independent set of size  $\mathcal{K}$  iff F(G) has a MAX-multilinear monomial of length  $\mathcal{K}(n-1)$ . Assume that for any  $\epsilon > 0$ , there is a polynomial time algorithm  $\mathcal{A}$  to approximate the MAX-MLM problem within an approximation factor of  $n^{(1-\epsilon)/2}$ . On the input polynomial F(G), we can use  $\mathcal{A}$  to find a multilinear monomial  $\mathcal{A}(F(G))$  that satisfies

$$\mathcal{K}(n-1) \leq [n+n(n-1)]^{(1-\epsilon)/2} \mathcal{A}(F(G)) = n^{1-\epsilon} \mathcal{A}(F(G)).$$
(10)

It follows from above (10) that

$$\mathcal{K} \leq n^{1-\epsilon} \frac{\mathcal{A}(F(G))}{n-1}.$$
 (11)

By (11), we have a factor  $n^{1-\epsilon}$  polynomial time approximation algorithm for the maximum independent set problem. By Zuckerman's inapproximability lower bound of  $n^{1-\epsilon}$  [31] on the maximum independent set problem, this is impossible unless P=NP.

H*a*stad [16] proved that there is no polynomial time algorithm to approximate the MAX-2-SAT problem within a factor of  $\frac{22}{21}$ . By this result, we can derive the following inapproximability about the MAX-MLM problem for the  $\prod_m \sum_2 \prod_2$ . Notice that Chen and Fu proved [8] that testing multilinear monomials in a  $\prod \sum_2 \prod$  polynomial can be done in quadratic time.

**Theorem 19** Unless P=NP, there is no polynomial time algorithm to approximate MAXM-MLM(F) within a factor 1.0476 for any given  $\prod_m \sum_2 \prod_2$  polynomial F.

**Proof** We reduce the MAX-2-SAT problem to the MAX-MLM problem for  $\prod_m \sum_2 \prod_2$  polynomials. Let  $F = F_1 \wedge \cdots \wedge F_m$  be a 2SAT formula. Without loss of generality, we assume that every variable  $x_i$  in F appears at most three times, and if  $x_i$  appears three times, then  $x_i$  itself occurs twice and  $\bar{x}_i$  once. (It is easy to see that a simple preprocessing procedure can transform any 2SAT formula to satisfy these properties.) The reduction is similar to, but with subtle differences from, the one that was used in [8] to reduce a 3SAT formula to a  $\prod_m \sum_3 \prod_2$  polynomial.

If  $x_i$  (or  $\bar{x}_i$ ) appears only once in F then we replace it by  $y_{i1}y_{i2}$ . When  $x_i$  appears twice, then we do the following: If  $x_i$  (or  $\bar{x}_i$ ) occurs twice, then replace the first occurrence by  $y_{i1}y_{i2}$  and the second by  $y_{i3}y_{i4}$ . If both  $x_i$  and  $\bar{x}_i$  occur, then replace both occurrences by  $y_{i1}y_{i2}$ . When  $x_i$  occurs three times with  $x_i$  appearing twice and  $\bar{x}_i$  once, then replace the first  $x_i$  by  $y_{i1}y_{i2}$  and the second by  $y_{i3}y_{i4}$ , and replace  $\bar{x}_i$  by  $y_{i1}y_{i3}$ .

Let  $G = G_1 \cdots G_m$  be the polynomial resulted from the above replacement process. Here,  $G_i$  corresponds to  $F_i$  with boolean literals being replaced. Clearly, F is a  $\prod_m \Sigma_2 \prod_2$  polynomial and every term in each clause has length 2. For each literal  $\tilde{x}_i$  in F, let  $t(\tilde{x}_i)$  denote the replacement of new variables for  $\tilde{x}_i$ . For each term T in G,  $t^{-1}(T)$  denotes the literal such that T is the replacement of new variables for it. From the definitions of the replacements, it is easy to see that the clauses  $F_{i_1}, \ldots, F_{i_s}$  in F are satisfied by setting literals  $\tilde{x}_{i_j} \in F_{i_j}$ true,  $1 \leq j \leq s$ , iff  $\pi = t(\tilde{x}_{i_1}) \cdots t(\tilde{x}_{i_s})$  is multilinear with  $t(\tilde{x}_{i_j})$  being a term in  $G_{i_j}, 1 \leq j \leq s$ . This implies that the maximum number of the clauses in F can be satisfied by any true assignment is  $\mathcal{K}$  iff a MAX-multilinear monomial in Ghas length  $2\mathcal{K}$ . Now, assume that there is a polynomial time approximation algorithm A to find a MAX-multilinear monomial in G within a factor of 1.0476 Apply the algorithm  $\mathcal{A}$  to G and let  $\mathcal{A}(G)$  denote the MAX-multilinear monomial returned by  $\mathcal{A}$ . We have

$$2\mathcal{K} \leq 1.0476 \ \mathcal{A}(G) \leq \frac{22}{21} \ \mathcal{A}(G),$$
$$\mathcal{K} \leq \frac{22}{21} \ \frac{\mathcal{A}(G)}{2}.$$

Thus, we have a polynomial time algorithm that approximates the MAX-2-SAT problem within a factor of  $\frac{22}{21}$ . By H*a*stad's inapproximability lower bound on the MAX-2-SAT problem [16], this is not possible unless P=NP.

Khot at el. [19] proved that assuming the Unique Games Conjecture, there is no polynomial time algorithm to approximate the MAX-2-SAT problem within a factor of  $\frac{1}{0.943}$ . Notice that  $\frac{1}{0.943} > 1.0604 > \frac{22}{21} > 1.0476$ . This tighter lower bound and the analysis in the proof of Theorem 19 implies the following tighter lower bound on the inapproximability of the MAX-MLM problem.

**Theorem 20** Assuming the Unique Games Conjecture, there is no polynomial time algorithm to approximate MAXM-MLM)(F) within a factor 1.0604 for any given  $\prod_m \sum_2 \prod_2$  polynomial F.

**Remark.** When the MAX-MLM problem is considered for  $\Pi_m \Sigma_2 \Pi_2$  polynomials, Theorem 17 gives an upper bound of 2 on the approximability of this problem, while a lower bound of 1.0476 is given by Theorem 19 assuming  $P \neq NP$ , and a stronger 1.0604 lower bound is derived by Theorem 20 assuming the Unique Games Conjecture. There are two gaps between the upper bound and the respective lower bounds. It would be interesting to investigate how much these two gaps can be closed.

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